



PLEASE COMPLETE AND RETURN THIS FORM BY EITHER:
EMAIL: mail@galvan.co.uk, FAX: 01872 26 53 26, OR SEND BY POST TO:
GALVAN, CMA House, Newham Road, Truro TR1 2SU.

Customer Suitability

Name			
Date of birth			
General Health	Poor <input type="checkbox"/>	Fair <input type="checkbox"/>	Good <input type="checkbox"/>

Financial Situation

Because Contracts for difference, Spread Bets or Foreign Exchange (ForEX or FX) are a high risk investment we need to assess the suitability of these investments in light of your knowledge and experience in the investment field and your investment objectives. Please take some time to complete the following information.

Marital status	Single <input type="checkbox"/>	Married/civil partnership <input type="checkbox"/>	Divorced <input type="checkbox"/>	Widowed <input type="checkbox"/>
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Number of dependents	<input type="text"/>
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Employment status	Employed <input type="checkbox"/>	Self-employed <input type="checkbox"/>	Retired <input type="checkbox"/>	Unemployed <input type="checkbox"/>
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Is your employer an investment firm regulated by the FSA?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
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Current occupation	<input type="text"/>
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Education, profession or former profession (If Retired):	<input type="text"/>
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Have you worked in the finance industry?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
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Do you have a degree level qualification?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
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Income and Expenses

In case of joint investors, please give details of both

Annual employment income (net of tax)	£ <input type="text"/>
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Annual investment and dividend income	£ <input type="text"/>
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Annual pension income	£ <input type="text"/>
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Annual other income	£ <input type="text"/>
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Please give details:	<input type="text"/>
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Monthly mortgage/ rent	£ <input type="text"/>
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Other monthly expenditure	£ <input type="text"/>
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Please give details:	<input type="text"/>
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Assets and liabilities

In the case of joint investors, please give details of both

Property ownership	Home owner <input type="checkbox"/>	Tenant <input type="checkbox"/>	Other <input type="checkbox"/>
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Assets	Liabilities
Main residence <input type="text"/> £	Outstanding mortgage <input type="text"/> £
Shares Listed <input type="text"/> £	Personal loans <input type="text"/> £
Shares Unlisted <input type="text"/> £	Credit card loans <input type="text"/> £
Savings <input type="text"/> £	Other Liabilities <input type="text"/> £
Other investments <input type="text"/> £	
Please give details: <input type="text"/>	Please give details: <input type="text"/>

Are your assets and liabilities jointly owned? Yes No

If yes, please provide details:

Investment Objectives and Risk

I acknowledge having read the Galvan Risk Disclosure Notice that Contracts for difference (CFD) are considered to be a high risk product.

Please indicate your investment objectives below

Please tick one

Growth <input type="checkbox"/>
Income <input type="checkbox"/>
Income & Growth <input type="checkbox"/>

Your **overall** risk profile? Low Medium Low Medium Medium High High

Over what timeframe do you expect your objectives and risk profile to remain as above?

0-1 Years <input type="checkbox"/>	1-4 Years <input type="checkbox"/>	5+ Years <input type="checkbox"/>
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Available funds for speculative/high risk investments £

Knowledge and Experience

How many years experience do you have investing in:

Listed Shares	Number of months if less than 1 year <input type="text"/>	1 - 2 years <input type="text"/>	3 - 4 years <input type="text"/>	over 5 years <input type="text"/>
Average trade size		Frequency per month		
Unlisted Shares	Number of months if less than 1 year <input type="text"/>	1 - 2 years <input type="text"/>	3 - 4 years <input type="text"/>	over 5 years <input type="text"/>
Average trade size		Frequency per month		
Bonds	Number of months if less than 1 year <input type="text"/>	1 - 2 years <input type="text"/>	3 - 4 years <input type="text"/>	over 5 years <input type="text"/>
Average trade size		Frequency per month		
CFDs	Number of months if less than 1 year <input type="text"/>	1 - 2 years <input type="text"/>	3 - 4 years <input type="text"/>	over 5 years <input type="text"/>
Average trade size		Frequency per month		
Spread Betting	Number of months if less than 1 year <input type="text"/>	1 - 2 years <input type="text"/>	3 - 4 years <input type="text"/>	over 5 years <input type="text"/>
Average trade size		Frequency per month		
Foreign Exchange	Number of months if less than 1 year <input type="text"/>	1 - 2 years <input type="text"/>	3 - 4 years <input type="text"/>	over 5 years <input type="text"/>
Average trade size		Frequency per month		
Other (please give details in space below)	Number of months if less than 1 year <input type="text"/>	1 - 2 years <input type="text"/>	3 - 4 years <input type="text"/>	over 5 years <input type="text"/>
Average trade size		Frequency per month		
Details of other instruments:				

I/we confirm and agree the information supplied by me/us is true and correct. Further, I/We undertake to notify Galvan Research & Trading Limited if there is any change to my/our status such that anyone of the statements in the declaration becomes untrue.

Signature

Date

It is our policy to respect the confidentiality of information and the privacy of individuals. We are bound by the Data Protection Principles contained in the Data Protection Act 1998. A copy of our Privacy Notice is available on our website or upon request.

Authorised and regulated by the Financial Services Authority FSA number: 401179

Registered office: Galvan Research and Trading Ltd. CMA House, Newham Road, Truro TR1 2SU

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