

# Joint Account Supplementary Form

Please complete ALL SECTIONS in full using BLOCK CAPITALS. Failure to do so could delay the opening of your trading account. Please call 01872 262620 should you have any questions.

## 1 - Your Personal Details

This form should only be used if you are the second applicant in a joint application.

Title Mr  Mrs  Miss  Ms  Dr   
 Other  please specify

First Name

Middle Name

Surname

Date of Birth

Nationality

Mother's Maiden Name

Name of Joint Applicant

### Your Current Address Details

Address Line 1

Address Line 2

Address Line 3

Town

County

Postcode

Country

Occupancy Owner  Rented  Living with Parents

Time at current address Years   Months

If you have been at the above address less than 3 years, please give your previous address.

### Previous Address

Address Line 1

Address Line 2

Address Line 3

Town

County

Postcode

Country

Time at previous address Years   Months

## 2 - Contact Details

Preferred contact number (please tick appropriate box)

Home Tel No

Business Tel No

Mobile No

Email Address

## 3 - Employment Details

Employment Status Employed  Self Employed

Retired  Student  Not Working

Time with employer Years   Months

Employer / Business Name

Nature of Business

Position Held

Do you work for a firm or a branch regulated by the FSA or by an overseas regulator? Yes  No

If you are required to provide copy contract notes to your employer, would you like us to send them directly? Yes  No

If yes, please provide an appropriate email address below

## 4 - Trading Experience

(Excluding trades for which you received financial advice)

How often have you traded shares on an execution-only basis in the past 12 months?

Never  Occasionally  Regularly  Frequently

How often have you traded on margin in CFDs, Spread Bets, Futures, Options or FX in the past 12 months on an execution-only basis?

Never  Occasionally  Regularly  Frequently

How many years experience do you have trading shares on an execution-only basis?

None  Less than 1 year  1-4 years  5+ years

How many years experience do you have trading on margin in CFDs, Spread Bets, Futures, Options or FX on an execution-only basis?

None  Less than 1 year  1-4 years  5+ years

Have you traded derivatives on margin in a professional capacity in the past 5 years?

Yes  No

We offer leveraged products that are high risk. Do you understand that you can lose more than your initial investment if your transaction does not perform as you expect?

Yes  No

Do you understand that small movements in an underlying security can result in your transaction incurring much larger profits or losses, and that the more leverage that is available, the greater this effect will be?

Yes  No

Do you recognise that it is your responsibility to monitor your account and use risk management tools where appropriate such as Stop Losses to reduce any potential loss you may incur?

Yes  No

## 5 – Declaration

Galvan Research and Trading (the “Introducer”) has introduced you to Galvan Derivative Trading, which is a trading name of City Index Limited, (the “Company”). The provider of the services is the Company and any resulting contract is between you and the Company, and not with the Introducer. Any reference to your account solely refers to your account with the Company and not to any other account you may hold with the Introducer or other companies in its group.

Before you apply to open an account it is important that you:

- have read and understood the agreement under which we will trade with you – the General Terms, Supplemental Terms, Market Information and Key Service Features (together with this Application Form, the “Agreement”);
- have read and understood our Trade and Order Execution Policy, our Risk Warning Notice and our Conflicts of Interest Policy; and
- understand that important information is available on the Trading Platform.

In completing and returning this application you confirm that you:

- wish to apply for a joint account with the persons named above;
- accept and agree to be bound by the terms of the Agreement;
- consent to the Trade and Order Execution Policy;
- are over 18 and that the information provided in this application is correct;
- agree to the terms in relation to use of personal information you provide to the Company (clause 24 of the General Terms). You understand that the Company may use your personal information, for example, for responding to credit reference enquiries, searching the electoral register and making enquiries of credit reference and other agencies who may retain a record of the enquiry and information given to them and who may also use your information in the future to assist other organisations for crime prevention and credit purposes;
- consent to us providing you with information, including without limitation, our General Terms, Supplemental Terms, Market Information, Key Service Features, Trade and Order Execution Policy, Risk Warning Notice, and Conflicts of Interest Policy together with any updates or changes thereto in electronic form, including without limitation, email and by posting such information on the Company’s Website; and
- consent to your orders being executed outside a regulated market or multilateral trading facility.

## Data Protection

To comply with anti-money laundering regulations, the Company is required to collect information about you and to undertake checks on your identity and residential address, including accessing and using information held in any database or other electronic format. The Company may request further supporting documents from you to confirm these details. Such requests could delay the processing of this application.

The Company may use your personal information, for example, for responding to credit reference enquiries, searching the electoral register and making enquiries of credit reference and other agencies, who may retain a record of the enquiry and information given to them and who may also use your information in the future to assist other organisations for crime prevention and credit purposes.

You consent to us exchanging information with the firm or person who has introduced you to us (an “Introducer”) in accordance with the General Terms.

The Company will add your details (including email details) to its database and will occasionally contact you by email, telephone or by post, about products and services offered by us that are similar or related to the products and services provided or previously provided to you. This information may also be displayed on our website at [www.galvan.co.uk](http://www.galvan.co.uk). By signing this form you consent to the use of your information in this way unless you indicate to us that you would prefer not to receive such material by writing to Client Management or by ticking this box.

By ticking the box below you authorise us to pass your details to selected Associated Companies or third parties (including Introducers) for the purpose of contacting you by email, telephone or post to give you information about carefully selected products or services offered by that party that are similar or related to the products or services provided or previously provided to you by us. You consent to us using your data for this purpose for the period you have an account with us and after you have closed it. If you no longer wish to receive such information then please write to Client Management or write directly to the third party.

For further details about how the Company will use your personal information, please click here to be directed to the Privacy and Data Protection section of the Company’s General Terms.

By signing this form you represent that all the information contained herein is true and accurate and you agree to be bound by the terms of this application form and the Agreement.

## 10 – Signature

Signature

Date

D	D	M	M	Y	Y	Y	Y
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## What to do next

Please check the details you have provided, ensure they are correct and that the application form is signed. Then send your completed application to Galvan Derivative Trading, Park House, 16 Finsbury Circus, London EC2M 7EB.